

Avf`k

thtnZi, wmwKDwi wJR I G. tPA Kwgkb (AZtci OKwgkb0 etj Dwi wEZ) Gj , Avi , tK, wmwKDwi wJR wj t Gi Avte`bmtg, wbañi Z kZfaxtb, óK-wWj vi wmwte KvR Kivi Rb` wmwKDwi wJR I G. tPA Kwgkb AvBb, 1993 Gi aviv 10 G c0 E ¶lgZvetj Ges wmwKDwi wJR I G. tPA Kwgkb (óK wWj vi , óK tetKvi I Abtgw` Z c0Zwba) wewagj v, 2000 Gi wewa 5(5) Gi Avl Zvq Gj , Avi , tK, wmwKDwi wJR wj t (AZtci “óK-wWj vi0 etj Dwi wEZ) Gi AbKtj óK-wWj vi wbeÜb mb` bs 3.1/wGmB-026/98-004 Zwi L Rþ 27, 2001 Bs c0 vb Kti t0;

thtnZi, Kwgkb Gi Avf`k bs-SEC/Surveillance/2003/0090/232(4) Zwi L Rþ 13, 2007 Bs Gi gva`tg MwZ cw`k0 KgwUj `wLj KZ c0Zte`b nZ c0Zxqgvb nq th Gj , Avi , tK, wmwKDwi wJR wj t Dnvi KwqU Rbve Zvmwj g Dwi b Avntg` (KwqU tKw 013) Ges Rbvev P`bx mj Zvbn (cwc) (KwqU tKw 14069) Gi ct¶ B0vb`K`vej m&wj t (Bm`gvi) Gi tkqvi Dwi wEZ KwqU0tqi wmwte bv `vKv mtZl wemqKvh`m`uv`b Kti ;

thtnZi, Dwi wEZ wemqKvh`m`uv` tbi gva`tg D3 óK wWj vi Dhaka Stock Exchange (Short-Sale) Regulations, 2006 Gi regulation 4 f½ Kti t0;

thtnZi, Kwgkb KZK AZtci Bm`KZ show cause-cum-hearing notice m` bs-SEC/Enforcement/638/2007/1192, Zwi L btf` 19, 2007 Bs Gi tct¶ tZ D3 óK wWj vi Gi gnve`vcK Rbve tgrt kigmj nK wWtm` 2, 2007 Bs Zwi tL Abj0Z `ibvbtZ Dcw`Z ntq wj wLZ e3e` `wLj Kti hvZ, Ab`tb`i gta`, Dtj tKti th, “Short sale of Eastern Cable: The incidence happened largely because of frequent transaction of the client and faulty reporting of the software. Since we primarily depend on BO-ISIN holding report provided daily by CDBL to trade on Dematerialized Share. In the case of Paper Share we have no other option but to depend on our back office software.”;

thtnZi, Kwgk tbi Dwi wEZ cw`k0 c0Zte`b Ges óK wWj vti i wj wLZ e3e` nZ c0w0Z ntqt0 th, D3 óK wWj vi Dnvi KwqU t`i ct¶ Dwi wEZ mU` tmj m (Short Sale) m`uv`b ceR Dhaka Stock Exchange (Short-Sale) Regulations, 2006 Gi regulation 4 f½ Kti t0; Ges

thtnZi, Kwgk tbi wetePbvq, wmwKDwi wJR AvBb cw`i cjt t b Dwi wEZ e`Zvi Rb`, Z_v c¶ RevRvti i ksLj v I `^0Zv i ¶vi `vt`0 D3 óK wWj vi tK Rwi gvbn Kiv c0qvRb I mgrPxb;

AZGe, tmtnZi, Kwgkb, Dwi wEZ hveZxq wel q wetePbvceR wmwKDwi wJR AvBb mspuvS-Dwi wEZ c0ewa f½ Kivq Gj , Avi , tK, wmwKDwi wJR wj t tK Securities and Exchange Ordinance, 1969 Gi section 22, wmwKDwi wJR I G. tPA Kwgkb AvBb, 1993 Gi aviv 18 mn cwZ, Gi Aaxtb 1,00,000/- (GK j ¶) UvKv Rwi gvbn avh`Ki j hv A` Avf` tki 15 (ctbi) w` tbi gta` wmwKDwi wJR I G. tPA Kwgkb0 Gi AbKtj BmjKZ e`vsK WtdU/tc-AW¶i i gva`tg Kwgk t b Rgv Kitz nte|

wmwKDwi wJR I G. tPA Kwgk tbi ct¶-

dvi “K Avng` wmw`i Kx
tPqvi g`vb

wEZibt

Gj , Avi , tK, wmwKDwi wJR wj t
óK wWj vi , wGmB m`m` bs-26
gawZv wewi s (3q Zj v)
160, gwZwSj ev/G, XvKv-1000|