

# SECURITIES AND EXCHANGE COMMISSION

## **MUTUAL FUND**

- Presented by
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  - Executive Director, SEC

# WGDZqvj dvU Gi msAv

WWDwi UR I G. tPA Kwgkb (WGDZqvj dvU) Wwagvj v, 2001 G  
WGDZqvj dvU Gi wbtæv<sup>3</sup> msAv t` qv AvtQt

ÒWGDZqvj dvUó A\_©Uó AvKvti MWZ Ggb tKvb dvU hvvi DfI k" GB  
Wwagvj v Abjvvti A\_©evRvi BbótgU wwbttqvMi Rb" GK ev GKwaK  
`xtgi Aaxb Rbmvari tYi wBKU BDwbU wwtqi gva'tg msMnxZ A\_©

Avtj vP" Wwagvj v Abjvqx cZ"K WGDZqvj dvU Trust Act, 1882 (II  
of 1882) Gi Aaxb Ut÷ AvKvti MVb KiZ nte Ges Registration  
Act, 1908 (XVI of 1908) Gi Aaxb Ut÷ `wj j wbeUZ ntZ nte |

Zte WGDZqvj dvU gj Zt GKwU Uó dvU | tQvU tQvU wwbttqvMKvixt` i  
mÂq msMö Kti G dvU wwfbcæWWDwi wUz wwbttqvM Kti \_vtK | dtj  
wwbttqvMKvixt` i wwbttqvMi SxK nxm cvq | gj Zt wwbttqvMKvixt` i SxK  
nxm Kti mtev<sup>9</sup> wi Uvb<sup>9</sup> vbB G dvUti gj DfI k" |

# wgDPzqvj dvŨi cKvi f`

wgDPzqvj dvŨ gj Zt 2 cKvi h\_v-

1 | I tcb GŨ wgDPzqvj dvŨ 2 | tKvRW GŨ wgDPzqvj dvŨ

I tcb GŨ wgDPzqvj dvŨi gj ab w`i bq | G dvŨi weµq me mgq  
Ae`vnZ \_v†K | dtj gj ab memgq cwi eZ<sup>⊗</sup> nq | G dvŨ mvaviYZt óK  
G. †P†Ä Zwi Kvf<sup>β</sup> nq bv | BmjKvixi Awd†m BDwbu th tKvb mgq µq  
A\_ev mgc<sup>⊗</sup> Kiv hvq | Ab`w` †K, tKvRW GŨ wgDPzqvj dvŨi gj ab  
memgq w`i \_v†K | G dvŨ GKwU wbw`<sup>⊗</sup> mgtqi Rb` evRvi RvZ Kiv nq |  
wbw`<sup>⊗</sup> mgtqi c†i G dvŨ Ae j β nq | G dvŨ óK G. †P†Ä Zwi Kvf<sup>β</sup>  
ntq \_v†K |

vevb†qv†Mi ^enkó ve†ePbvq G dvŨ 4 cKvi, h\_v-

1 | tM<sup>⊗</sup>\_ dvŨ 2 | BbKvg dvŨ 3 | e`vtj YW dvŨ 4 | Bb†W. dvŨ |

# wgDPzqvj dvŭi myeav

wgDPzqvj dvŭi wewbŭqvŭM myeavmgŭt

- 1 | wgDPzqvj dvŭi wewbŭqvŭMi SŭK nŭm Kŭi |
- 2 | wgDPzqvj dvŭi t\_ŭK wŭbqwgZ Avŭqi mŭthvM \_vŭK |
- 3 | wgDPzqvj dvŭi nŭZ gj ab gŭvŭdvi mŭthvM iŭqŭQ |
- 4 | wgDPzqvj dvŭi th tKvb mgq weŭq Kŭi bM`vqb Kiv hvq |
- 5 | wgDPzqvj dvŭi wewbŭqvM Kiv nŭj U`v. tŭwWU cvl qv hvq |
- 6 | wgDPzqvj dvŭi wj ŭqb tiŭL cŭqRŭb FY ŭbqv hvq |

**wbeÜb gÄj xi Rb" A†hM"Zv|** - †Kvb wgpDPžqvj dvÛ wbeÜb  
gÄj xi Rb" thvM" n†ebv hw` -

(K) Dnv U† ÷ AvKv†i MwZ bv nq Ges Kwgkb KZK Dnvi U† ÷  
` wj j Ab†gww` Z bv nq;

(L) Dnvi U†w ÷ , mœú` e`e`vcK Ges tndvRZKvi x GB wewagvj vi  
Aaxb Kwgkb KZK wbeÜZ bv nq;

(M) †Kvb †gqv` x wgpDPžqvj dv†Ûi †Kvb `††gi msMnxZe" A†\_P  
AŠZt kZKiv `kfvM (10%) Ges †Kvb te-†gqv` x wgpDPžqvj  
dv†Ûi cö\_wgk msMnxZe" A†\_P AŠZt kZKiv `k fvM (10%)  
D†` "v³v cö vb bv K†i t

Zte kZ<sup>©</sup>\_v†K th, D<sup>3</sup> kZKiv `k fv†Mi AšZt GK `kgvsk  
(1/10) D†` `v<sup>3</sup>v KZ~~R~~ tgqv`x dv†Ûi t¶†Î cY<sup>©</sup>tgqv` ch<sup>©</sup>-  
mve¶¶WYKfv†e msi ¶¶Y Ki†Z n†e, Ges te-tgqv`x dv†Ûi t¶†Î  
AšZt ¶Zb ermi ch<sup>©</sup>- mve¶¶WYKfv†e msi ¶¶Y I Zrci  
K¶gk†bi Ab†gv` bµ†g D†` `v<sup>3</sup>v nI qvi thvM"Zvmαú bæ tKvb  
cöZôv†bi AbK†j n<sup>-</sup>vš† Kiv hv†e|



## 2. G'vfmU g'vfbRvi

G'vfmU g'vfbRvi dvfûi Df`v<sup>3</sup>v KZ~~R~~ wbtqvMc~~Ö~~B ntq \_vtK |  
wgDPzqvj dvfûi t¶tî G'vfmU g'vfbRvi Gi fvgKv metPfq  
tewk | G'vfmU g'vfbRvtii thvM<sup>z</sup>v I KZ~~e~~wbôvi Dci dvfûi  
Aw<sup>-</sup>Zj Z<sub>v</sub> dvfûi jvfRbKZv wbf<sup>©</sup> Kti | wmwKDwi wUR I  
G. tPÄ Kwgk<sup>t</sup>bi Abtgv<sup>`</sup>bc~~Ö~~B c~~Ö~~ZôvbB G'vfmU g'vfbRvtii  
`wqZj cvj b Kitz cvti | G'vfmU g'vfbRvtii cwi tkwaZ gj ab  
I tbU m<sup>α</sup>ú` h<sub>v</sub>μtg 1.50 tKwU I 1.25 tKwU UvKv |

G'vfmU g'vfbRvtii gj `wqZj nj t

1 | dvû e<sup>e</sup>-vcbv |

2 | dvfûi wmwve msi ¶Y I cÿqb |

3 | eww~~R~~ wmwve cÿqb I j f'vsk tNvl Yv |

4 | wbw<sup>©</sup> mg<sup>t</sup>q j f'vsk weZiY |

5 | GZ` mswk~~e~~-Ab<sup>vb</sup> KvR m<sup>α</sup>úv` b |

### 3. Uthw:

Uthwó Df`v<sup>3</sup>v KZR wbtqvMcöB nq| Uthwó wntmte KvR KiZ ntj wmwKDwi wJR I G. tPÄ Kwgkftbi wbeÜftbi cöqvRb nq| Uthwóí gj `wqZ; nj GvftmU gvftbRvti i Kvhv@j x Z`vi Kx Kiv| GvftmU gvftbRvti i tKvb Kvhv@j x wqDPzqvj dvÛ wewagvj v cwi cšx ntj Zv wmwKDwi wJR I G. tPÄ KwgkftK Aewnz Kti Zpír e`v MÖY Kiv| Uthw÷i cwi tkwiaZ gj ab I tbU mαú` h\_vμtg 1.00 tKwU I 80.00 j vL UvKv|

### 4. Kvftóvwqvb

Kvftóvwqvb Df`v<sup>3</sup>v KZR wbtqvMcöB ntq \_vtK| Kvftóvwqvb wntmte KvR KiZ ntj wmwKDwi wJR I G. tPÄ Kwgkftbi wbeÜftbi cöqvRb nq| Kvftóvwqvbí gj `wqZ; nj μqKZ wmwKDwi wJR, t j vi msi q| b Kiv Ges wewμtqi t q| tî tkqvi, t j v tWwj fvi x t`qv| Kvftóvwqvb Gi cwi tkwiaZ gj ab I tbU mαú` h\_vμtg 1.00 tKwU I 60.00 j vL UvKv |

# NAV Calculation

NAV is calculated as follows: NAV = (Total Assets - Total Liabilities) / Number of Units Outstanding. NAV is the value of one unit of the fund. NAV is calculated daily. NAV is used to determine the price of the fund. NAV is used to determine the value of the fund. NAV is used to determine the value of the fund. NAV is used to determine the value of the fund.

$$NAV = VA - LT$$

Total NAV

$$NAV \text{ per unit} = \frac{\text{Total NAV}}{\text{No. of units outstanding}}$$

No. of units outstanding

VA= Value of Total Asset of the Fund as on date.

LT= Total liabilities of the Fund as on date.

www.KDwi UR I G. tPA Kngkb (ngDPzqvj dvU) weagvj v, 2001  
Abhvqx I tcb GÜ ngDPzqvj dvU i tñt NAV cÜZ mßvtn wbb I  
Zv weÁß AvKvti cKvk KiZ nq| Abw tK, tKvRW GÜ ngDPzqvj  
dvU i tñt cÜZ mßvtn NAV wbb KiZ ntj I gvtm AŠZt 1 evi  
cwI Kvq cKvk KiZ nq|

### ngDPzqvj dvU i A\_ wwbqvM

ngDPzqvj dvU i A\_ wwbqvMi mybw` 8 bxwZgvj v itqtQ| tgvU  
gy atbi mtevP 75% tkqvti Ges 25% wteAvti wwbqvM KiZ  
nte| tkqvi wwbqvMi AŠZt 50% Aek`B Zwj Kv<sup>3</sup> tKv<sup>3</sup>vbxtZ  
wwbqvM KiZ nte| tKvb tKv<sup>3</sup>vboxi GKK tkqvti 10% Gi tewk  
wwbqvM Kiv hvte bv| tKvb GKK tKv<sup>3</sup>vboxi ev Mötci tkqvi I  
wteAvti mtevP 20% Ges tKvb tm±ti mtevP 25% Gi tewk  
wwbqvM Kiv hvte bv|

## j f'vsk tNvl bv

dv†Ûi tgvU Av†qi b~bZg 70% cÛZeQi dvÛ†nvì vi†` i weZiY Ki†Z  
nq| j f'vsk tNvl bvi 45 w` †bi g†a" j f'vsk weZiY eva"Zvgj K|

## BDrbU †nvì vi†` i AvãKvi

- j f'vsk
- U"v. †ewbwdU
- U†Ydvi vwevj wU
- †fvU` v†bi AvãKvi
- wi †cvU© GKvD>Um&
- †ewbwdwm"qvj B>Uv†i ÷



## evsj vř` řk vřDPřqvř dvŮ

AvBimwe evsj vř` řk vřDPřqvř dvřŮi cĚZř | 1980 Gi `křK  
cŮg vřDPřqvř dvŮ evRvi RvZ Kiv nq | AvBimwe G hver 8 w  
řKřřRW GŮ Ges 1 w Iřcb GŮ vřDPřqvř dvŮ evRvi RvZ  
Kři řQ | Zře dvŮ řj v AvBimwei wR^ břwZgvř v Abřvqx  
cwi Pwř Z |

AvBimwei mveimWqvix tKv<sup>α</sup>úvbx AvBimwe G<sup>v</sup>t<sup>m</sup>U g<sup>v</sup>t<sup>b</sup>Rt<sup>g</sup>U  
tKv<sup>α</sup>úvbx wj t G hver 4 wU w<sup>g</sup>DP<sup>z</sup>qvj dv<sup>U</sup> evRvi RvZ Kti tQ hvi gta<sup>''</sup>  
2wU I t<sup>c</sup>b G<sup>U</sup> I 5 wU tKv<sup>t</sup>RW G<sup>U</sup> w<sup>g</sup>DP<sup>z</sup>qvj dv<sup>U</sup> | G dv<sup>U</sup> , t<sup>j</sup> vi  
gta<sup>''</sup> 1wU dv<sup>U</sup> Bmj w<sup>g</sup>K kwi qvn&Abhvqx cwi Pwj Z n<sup>t</sup>''Q | GKwU dv<sup>U</sup>  
tKej gv<sup>I</sup> t<sup>c</sup>bkb tnv<sup>i</sup> vi t<sup>`</sup> i Rb<sup>''</sup> Pvj y Kiv n<sup>t</sup>q<sup>t</sup>Q | GQvov GBgm  
evsj vt<sup>`</sup> k wj t KZ<sup>R</sup> c<sup>ö</sup>B<sup>t</sup>fU tm<sup>±</sup>t<sup>i</sup> 3wU w<sup>g</sup>DP<sup>z</sup>qvj dv<sup>U</sup> evRvi RvZ  
Kti tQ | G dv<sup>U</sup> , t<sup>j</sup> v w<sup>m</sup>wKDwi wUR I G. t<sup>P</sup>Ä K<sup>w</sup>gkb (w<sup>g</sup>DP<sup>z</sup>qvj dv<sup>U</sup>)  
w<sup>e</sup>w<sup>g</sup>vj v, 2001 Abhvqx cwi Pwj Z n<sup>t</sup>''Q | evsj vt<sup>`</sup> k w<sup>k</sup>í FY ms<sup>-</sup>vI  
GKwU w<sup>g</sup>DP<sup>z</sup>qvj dv<sup>U</sup> cwi Pvj bv Kti tQ hv AvBimwei dv<sup>U</sup> , t<sup>j</sup> vi b<sup>v</sup>q  
c<sup>ö</sup>Zw<sup>ô</sup>Z I cwi Pwj Z n<sup>t</sup>''Q |

# Dcmsnvi

webtqv†Mi GKwU DrKó gva`g wntmte wgDPzqvj dvÛ eZ@v†b  
wekpe`vcx mgv` Z | wgDPzqvj dv†Ûi cwi wa l msL`v μgvš†q ewx  
cv†"Q | eZ@v†b 3 wU l †cb GÛ Ges 17 wU †KvRW GÛ wgDPzqvj  
dvÛ Av†Q | Bmj wwgK wgDPzqvj dv†Ûi RbwöZv ewx cv†"Q |

❖ ab`ev`