

Avf`k

thtnZi, wmwKDwiUR I G. tPA Kugkb (AZtci OKugkbO etj Dwi wLZ), tgv kwn`j w (k`vgj) GE tKvs Gi Avte`bmtg, wbaWi Z kZFaxtb, ÷K-wWj vi wmwte KvR Kivi Rb` wmwKDwiUR I G. tPA Kugkb AvBb, 1993 Gi aviv 10(1) G cO E` gZvetj Ges wmwKDwiUR I G. tPA Kugkb (÷K wWj vi, ÷K tetKvi I Abtgvw` Z cOZwbwa) weagvj v, 2000 Gi weia 5(5) Gi Avl Zvq tgv kwn`j w (k`vgj) GE tKvs (AZtci “÷K-wWj vi O etj Dwi wLZ) Gi AbKtj ÷K-wWj vi wbeUb mb` bs- wGK/wWGb/wWj vi/95/Gg-10, Zwi L: Gucj 27, 1995 Bs cO vb Kti tQ;

thtnZi, Kugkb, tgv kwn`j w (k`vgj) GE tKvs, Z_v D³ ÷K-wWj vi Gi Avte`bmtg, wbaWi Z kZFaxtb, wWcURUwi AskMhYKvix wmwte cYtmevi AskMhYKvix wmwte KvR Kivi Rb` Kugkb wmwKDwiUR I G. tPA Kugkb wWcURUwi (e`enwi K) cOeavbgvj v, 2003 Gi cOeavb 30 Gi Aaxb tgv kwn`j w (k`vgj) GE tKvs (AZtci O wWcURUwi AskMhYKvix O etj Dwi wLZ) Gi AbKtj wWcURUwi AskMhYKvixi wbeUb mb` bs GmBim/wbeUb/wmwveGj -wWc-116, Zwi Lt 28 AvM ÷, 2004Bs cO vb Kti tQ;

thtnZi, The Listing Regulations of The Dhaka Stock Exchange Limited Gi regulation 42 Abjvqx msiké/Dch³ KZEtqi ceFgvZ e`wZZ ÷K G. tPAi ewnti Zwj KvF³ tKvb tkqvti i tj bt`b bv Kivi weavb i tqtQ;

thtnZi, At±vei 3, 2005 Bs Zwi tL d`v. evZt gva`tg mvD_B ÷ e`vsK wj t tK Dnvi tkqvtnvi vi Rbve G,Gd,Gg, Avkivdj nK Lvb (tdwv I bs-04-004066) Zvnvi 36w tevbm tkqvi tgv kwn`j w (k`vgj) GE tKvs (÷K-wWj vi I wWcURUwi AskMhYKvix) G i wqZ weI wmwte bs-1202400004146851 G Rgv cO vtb i Rb` Abtjvtai tCtZ Dn At±vei 10, 2005 Bs Zwi tL Rgv cO vb Kiv ntqtQ gtg`mvD_B ÷ e`vsK wj t cI mF bs-SBL/HO/BS/124/2006 Zwi Lt Rp 3, 2006 Bs Gi gva`tg Rbve LvbtK AewnZ Kti ;

thtnZi, D³ tkqvtnvi vi Gi cI Zwi Lt tg 31, 2006 Bs Gi Abjw nc ntZ cZxqgvb nq th, Dwi wLZ 36w tevbm tkqvi ÷K-wWj vi/wWcURUwi AskMhYKvix KZR cOq 7 gvm cti, A_ t 15, 2006 Bs Zwi tL Zvnvi Dwi wLZ weI wmwte Rgv Kiv ntqtQ;

thtnZi, Kugkb KZR cI mF bs-SEC/SRMID/97-471/576 Zwi Lt Rp 6, 2006 Bs Gi gva`tg D³ weI tq gZvgZ tCtYi Rb` Abtjvtai tCtZ D³ ÷K-wWj vi/wWcURUwi AskMhYKvix, cI mF bs-SHY/M-3/154/06 Zwi Lt Rp 12, 2006 Bs gva`tg, Ab`vbi gta`, Rlvbq th, “.....the said client was only maintaining a B/O account with us and he never purchased or sold any shares under that B/O account. The above matter was already rectified which was nothing but a clerical mistake ” hv MhYthvM` weFenPZ nqwb;

thtnZi, Kugkb KZR AZtci Bm`KZ show cause-cum-hearing notice mF bs-SEC/Enforcement/486/2006/878, Zwi Lt AvM ÷ 3, 2006 Bs Gi tCtZ D³ ÷K wWj vti i cOZwbwaMY AvM ÷ 24, 2006 Bs Zwi tL AbjOZ `ibvxtZ Dcw`Z ntq GKB Zwi Lhy³ `wLj KZ Dnvt` i cI mF bs-SHY/M-3/159/06 Gi gva`tg, Ab`vbi gta`, Dtj t-Kti th, “.....on 10.10.2005 a 36 no. of shares were credited to the client’s B/O account. To justify the matter and to avoid any probable mistake the 36 shares were kept in the DP’s clearing account. And the above 36 shares were
cvZi 1/2

credited to the client B/O account before the record date on 15.05.06 after reconciliation and cross-checking of the entire Southeast Bank's shares by our self" hv MhYthvM" bfn, Kvi Y, Dchy³ KZ³ i ceftguz e"vZZ D³ tkqti i off-exchange vovst/Av`vb-c0vb Duj w-Z regulation 42 of the Listing Regulations of the Dhaka Stock Exchange Limited Gi cwicSk hvi `vq-`wqZj ÷K wJ vi/wcwRUwi AskMhYKvi x Gi Dci eZq;

AvakS, D³ ifc Abbtgw`Z vovst wcwRUwi AvBb, 1999 Gi aviv 11(9) Ges wcwRUwi (e"enwi K) c0avbgj v, 2003 Gi c0avb 32(5), 34(4), 42(1) Gi I cwicSk eU;

thtnZ, D³ ifc vovst i gva`tg Duj w-Z ÷K wJ vi Dnvi vbeÜb mbt` i 2 bs kZ³ Kti tQ hv wmwKDwi wJR I G. tPA Kvgkb (÷K wJ vi, ÷K tetKvi I Abbtgw`Z c0Zwba) wewagj v, 2000 Gi wewa 12 Abhvqx kw`thvM" Aciva;

thtnZ, Dctiv³ KvhRj vc ntZ Bnv c0Zqgvb ntqtQ th, D³ ÷K wJ vi/wcwRUwi AskMhYKvi x Dctivj w-Z c0avbgj v cwicvj b bv Kivi gva`tg mbt` i kZ³ Kti tQ hv B"QvKZ eU; Ges

thtnZ, Kvgktbi wetePbvq, wmwKDwi wJR AvBb I Dnvi Aaxtb Rwi KZ wewa-weavb cwicvj tb Duj w-Z e"Zvi Rb", Z_v c0RevRvti i kslj v I ^QZv i qvi vt`D³ ÷K wJ vi tK Rwi gvbv Kiv c0qvRb I mgxPxb;

AZGe, tmtnZ, Kvgkb, Duj w-Z hvezxq velq wetePbvceR wmwKDwi wJR AvBb mspvš-Duj w-Z wewa-weavb f1/2 Kivq tgrt kw`j w (k'vgj) GE tkvs (wGmB m`m` bs-3), ÷K wJ vi tK Securities and Exchange Ordinance, 1969 Gi section 22 [hv The Securities and Exchange (Amendment) Act, 2000 ðvi v mstkwaZ], wmwKDwi wJR I G. tPA Kvgkb (÷K wJ vi, ÷K tetKvi I Abbtgw`Z c0Zwba) wewagj v, 2000 Gi wewa 12 mn cwZ, tZ c0E qlgZvetj UvKv 5,00,000/- (c0P j q) Rwi gvbv avh© Kij hv A1 Avt`tki 15 (ctbi) w`tbi gta` wmwKDwi wJR I G. tPA Kvgkb0 Gi Abktj BmjKZ e'vsK WtdU/tc-AWqii gva`tg Kvgktb Rgv Kitz nte|

wmwKDwi wJR I G. tPA Kvgktbi ctq|-

dvi "K Avng` wmi Kx
tPqvi gv

wZibt

tgrt kw`j w (k'vgj) GE tkvs
(÷K wJ vi)
wGmB m`m` bs-3
i"q bs-319
9/B, gwZwSj ei/G
XvKv-1000