

Avf`k

thfnZi, wmwKDwi wJR I G. fPA Kugkb (AZtci OKugkb0 etj Duj wLZ), tMve wmwKDwi wJR wjt Gi Avte`bmtg, wbaWi Z kZfaxtb, ÷K-wWj vi wnmvte KvR Kivi Rb` wmwKDwi wJR I G. fPA Kugkb AvBb, 1993 Gi aviv 10 G c0 E fJgZvetj Ges wmwKDwi wJR I G. fPA Kugkb (÷K wWj vi, ÷K teifKvi I Abfgw` Z c0Zwba) wevagj v, 2000 Gi weia 5 Gi Avl Zvq tMve wmwKDwi wJR wjt (AZtci “÷K-wWj vi0 etj Duj wLZ) Gi AbKfj ÷K-wWj vi ubeÜb mb` bs ubeÜb-3.1/wGmB-189/2000/059, Zwi Lt AvM÷ 22, 2001 Bs c0 vb Kti fQ;

thfnZi, wmwKDwi wJR I G. fPA Kugkb (AZtci OKugkb0 etj Duj wLZ), tMve wmwKDwi wJR wjt Gi Avte`bmtg, wbaWi Z kZfaxtb, wWcwRUwi AskMhYKvix wnmvte KvR Kivi Rb` wWcwRUwi (e`enwi K) c0eavbgj v, 2003 Gi c0eavb 30 fgyZvteK tMve wmwKDwi wJR wjt (AZtci “wWcwRUwi AskMhYKvix/wWvc0 etj Duj wLZ) Gi AbKfj wWcwRUwi AskMhYKvixi ubeÜb mb` bs-GmBwm/ubeÜb/wmwveGj -wWvc-18, Zwi Lt wWtmf 4, 2003 Bs c0 vb Kti fQ;

thfnZi, XvKv ÷K G. fPA wjt (wGmB) c1 m1 bsDSE/2007/Mon/1632 Zwi L AvM÷ 8, 2007Bs Gi gva`tg tMve wmwKDwi wJR wjt Gi Dci Dnvi (wGmB) Øviv cwi Pwjj Z AbjmÜvb (investigation) c0Zte`tbi Abjg wC Kugktb t0Y Kti hvi gta` D3 ÷K wWj vi/wWvc KZK Dnvi KvtqU Rbve Avfbvqvi`j nK, tKwW-510, weI AvBwW bs-120170000004330 nZ tkqvi DfEjv b ceR ÷K wWj vi/wWvc gwr0 wWtcwRU wntmte wGmB Gi G. fPA wWvcZ KvtqU AbjgZ e`wZti tK weifb0eZwi tL Rgv ivtL Ges AvM÷ 7, 2007 Bs Zwi tL 85,500 tkqvi wGmB Gi G. fPA wWvc nZ DfEjv b Kti Rbve Avfbvqvi`j nK Gi weI AvBwWZ Rgv Kti;

thfnZi, Kugkb Avf`k bs-SEC/SRMID/99-898/1409 Zwi L AvM÷ 27, 2007 Bs Gi gva`tg MWZ Z`S-KugwU D3 ÷K wWj vi/wWvc Duj wLZ weI tq Z`S-ceR wbu0Z nq th, D3 ÷K wWj vi/wWvc KZK Dnvi KvtqU Rbve Avfbvqvi`j nK, tKwW-510, weI AvBwW bs-120170000004330 nZ tde`qvix 1, 2007 Bs t_K Rj vB 17, 2007 Bs mgqKvtj i weifb0eZwi tL tkqvi DfEjv b ceR ÷K wWj vi/wWvc gwr0 wWtcwRU wntmte wGmB Gi G. fPA wWvcZ KvtqU AbjgZ e`wZti tK Rgv ivtL Ges wGmB Gi G. fPA wWvc nZ DfEjv b Kti GwCj 17, 2007 Bs Zwi tL 38,550 tkqvi I AvM÷ 7, 2007 Bs Zwi tL 85,500 tkqvi Rbve Avfbvqvi`j nK Gi weI AvBwWZ Rgv Kti;

thfnZi, Kugktbi Duj wLZ Z`fS-Avi I t`Lv hvq th, D3 ÷K wWj vi/wWvc tde`qvix 4, 2007 Bs, tg 21, 2007 Bs Ges Rj vB 22, 2007 Bs Zwi tL h_vmtg 5,000 tkqvi, 10,000 tkqvi I 6,000 tkqvi Dnvi KvtqU Rbve bwmf Avfbvqvi, tKwW-511, weI AvBwW bs-1201700000102533 nZ DfEjv b ceR ÷K wWj vi/wWvc gwr0 wWtcwRU wntmte wGmB Gi G. fPA wWvcZ KvtqU AbjgZ e`wZti tK Rgv ivtL;

thfnZi, Kugkb KZK AZtci Bm`KZ show cause-cum-hearing notice m1 bs-SEC/Enforcement/616/2007/1072, Zwi Lt tmfPmf 16, 2007 Bs Gi t0fJZ D3 ÷K wWj vi/wWvc e`e`tcbv cwi Pj K Rbve AvfRRj ingvb Af±vei 4, 2007 Bs Zwi tL Abj0Z i bvbxtZ

Dcw`Z n`q Aciva `xKvi Kti `wLj KZ c`i gva`tg, Ab`v`b`i g`a`, D`j L Kti th, "----- wgt Av`bvqvi "j nK, Kv`qU tKwW
bs-510, w`l G`vKvD`U bs 120170000004330 G i w`jZ gv`K`vBj e`vsK wj t Gi 85500 tkqvi Ges Zwi
`x wgtmm bwM`f Av`bvqvi, Kv`qU tKwW bs-511, w`l GKvD`U bs 1201700000102533 G i w`jZ gv`K`vBj
e`vsK wj t Gi

cvZv 1/2

bs-GmBum/Gb`tdvm`g`u/616/2007/30

Zwi Lt Rvbyvi x 8, 2008 Bs

21000 tkqvi w`vfb`eZwi tL tU`W`S Gi w`cix`Z Awb`Qv `t`e`l eva` n`q i a`B Rv`gvbZ w`mvte w`W`G`m`B-i G` t`P`A
w`W`ic`f`Z Rgv t` l qv n`q`w`Qj I AvM`÷ 07, 2007Bs Zwi tL D`j w`LZ mgj q tkqvi D`3 m`e`y`mbZ Kv`qU Rbve
Av`bvqvi "j nK I wgtmm bwM`f Av`bvqvi Gi w`l G`vKvD`U tdir Avbv n`q`f`Q| ----- hvB trvK Av`v`f` i
KZ `w`i R`b` " w`l`v` t`P`q GB g`tg`c`Z`qb Ki`w`Q th, f`w`l `t`Z Ggb KvR t`_t`K w`ei Z `v`Ke| -----";

t`h`n`Z, D`c`i`v`3 Kv`h`R`j`vc n`f`Z c`g`v`b`Z n`q`f`Q th, D`3 ÷K w`W`j`vi/w`W`ic` D`nvi Kv`qU`f` i c`e`v`b`g`w`Z
e`v`Z`i`i`t`K w`l AvB`w n`f`Z tkqvi D`t`E`v`j b c`e`R ÷K w`W`j`vi/w`W`ic`i g`w`R`B w`W`t`c`w`RU w`n`f`m`te w`W`G`m`B Gi
G` t`P`A w`W`ic`f`Z Rgv t`i`t`L w`m`i`K`D`wi`w`UR I G` t`P`A K`w`g`k`b (÷K-w`W`j`vi, ÷K-t`e`f`K`vi I Ab`f`g`w` Z c`i`Z`w`b`a)
w`e`v`ag`v`v, 2000 Gi w`e`v`a 11, Av`P`i`Y w`e`v`a 1 I 6 Ges w`b`e`U`b m`b`f` i k`Z`e`bs 2 Ges w`W`c`w`RU`wi (e`en`w`i`K)
c`i`e`v`b`g`v`v, 2003 Gi c`i`e`v`a 32(5), 42 Ges 45 f`1/2 K`i`i`t`Q; Ges

t`h`n`Z, K`w`g`k`b`i w`e`t`e`P`b`v`q, w`m`i`K`D`wi`w`UR AvB`b c`w`i`c`j`t`b D`w`j`w`L`Z e`v`_`Z`vi R`b`, Z`_v` c`w`R`e`v`R`v`t`i`i
k`S`L`j`v I `^`Q`Z`v i`w`l`v`i `t`_`D`3 ÷K w`W`j`vi/w`W`ic`f`K R`wi`g`v`b`v K`iv`c`i`q`v`R`b I mg`x`P`x`b`;

AZGe, t`m`f`n`Z, K`w`g`k`b, D`w`j`w`L`Z h`v`e`Z`x`q w`e`l`q w`e`t`e`P`b`v`c`e`R w`m`i`K`D`wi`w`UR AvB`b m`S`p`v`S`-D`w`j`w`L`Z w`e`v`a
f`1/2 K`iv`q t`M`ve w`m`i`K`D`wi`w`UR w`j`t`t`K w`m`i`K`D`wi`w`UR I G` t`P`A K`w`g`k`b (÷K w`W`j`vi, ÷K t`e`f`K`vi I
Ab`f`g`w` Z c`i`Z`w`b`a) w`e`v`ag`v`v, 2000 Gi w`e`v`a 12 Gi A`a`x`t`b 5,00,000/- (c`w`P`j`w`l) U`v`K`v R`wi`g`v`b`v
a`v`h`K`i`j h`v` A`I Av`f``t`ki 15 (c`t`b`i) w``t`b`i g`a` "w`m`i`K`D`wi`w`UR I G` t`P`A K`w`g`k`b`i Gi Ab`K`t`j
B`m`j`K`Z e`v`s`K W`d`U`/t`c`-A`W`f`i`i g`v`a`tg K`w`g`k`b R`gv`K`i`f`Z`n`t`e`|

w`m`i`K`D`wi`w`UR I G` t`P`A K`w`g`k`b`i c`t`w`l`-

dvi "K Avng` w`m`i` Kx
t`P`q`v`i`g`v`b`

w`e`Z`i`b`t`

t`M`ve w`m`i`K`D`wi`w`UR w`j`t`

(÷ K wWj vi /wWvc)
wWGb m`m` bs-189
wWvc bs-18
i`g bs-302, wWGb wewi s
9/Gd, gwZwSj ev/G
XvKv-1000

ciZv 2/2

bs-GmBwm/GbtdvmfgU/616/2007/30
2008 Bs

Zwi Lt Rvbyvix 08,

fMve wmwKDwi wJR wj t
(÷ K wWj vi /wWvc)
wWGb m`m` bs-189
wWvc bs-18
i`g bs-302, wWGb wewi s
9/Gd, gwZwSj ev/G
XvKv-1000

wel qt Avt`k

gtnv`q,

Kwgk`bi Rvbyvix 8, 2008 Bs Zwi tLi Avt`k bs-GmBwm/GbtdvmfgU/616/2007/29 Gi mZ`wqZ
Abjy wC Avcbvi AeMwZ I c`qvRbxq e`e`v M`b`bi Rb` GZ` instM mshy` Kiv ntj v|

wmwKDwi wJR I G. tPA Kwgk`bi c`q|

c`xc Kgyi emvK
Dc-cwi Pvj K

AeMwZi Rb` Abjy wct

c`vb wbe`fx KgRZP, XvKv ÷ K G. tPA wj wgtUW
wC, I, Uz wbe`fx cwi Pvj K (Avi GÚ wW), GmBwm
wC, I, Uz wbe`fx cwi Pvj K (mv`f`vY), GmBwm

ЎС, I, Uzbeґn cwi Pvj K (GmAvi GgAvBwW), GmBwm

ЎС, I, Uzbeґn cwi Pvj K (ґiWRґkb), GmBwm

ЎС, I, Uz cwi Pvj K (GgAvBGm), GmBwm

ґPqvi g`vb gґnv` ґqi `Bi, GmBwm

